

04-22-04

26664

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.50

In Re Application Of: Hiroshi Kawakami, et al.

Serial No.
09/423,131

Filing Date
November 5, 1999

Examiner

Group Art Unit
2666

Title: METHOD AND APPARATUS FOR TRAFFIC CONTROL

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Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of _____
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- ☒ Charge any additional fee required.

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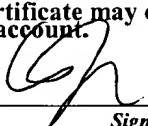
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Dated: April 21, 2004

ADRIAN J. LEE

Attorney for Applicant

Reg. No.: 42,785

Customer No.: 022913

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Hiroshi Kawakami, et al.**

Docket No.

15689.50

Serial No.

09/423,131

Filing Date

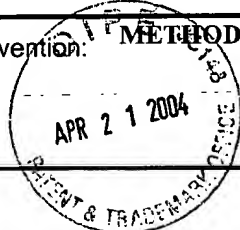
November 5, 1999

Examiner

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Invention:

METHOD AND APPARATUS FOR TRAFFIC CONTROL**RECEIVED****APR 27 2004**

I hereby certify that the following correspondence:

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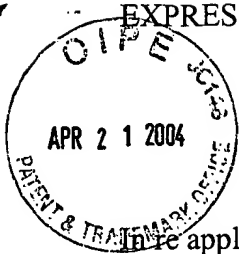
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April 21, 2004*(Date)***ADRIAN J. LEE***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 384065845 US***(“Express Mail” Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

Transmittal for Information Disclosure Statement (2 pages; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
Form PTO-1449 Listing of References (1 page);
Legible Copy of References; (2 references)
PTO 2038 credit card form for \$180.00
Certificate of Express Mail (EV384065845US);
Acknowledgment Postcard



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hiroshi Kawakami, et al.

Serial No. 09/423,131

Filed: November 5, 1999

For: METHOD AND APPARATUS FOR TRAFFIC
CONTROL

Customer No.: 022913

Art Unit

2666

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

RECEIVED

APR 27 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2600

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

(Promptness Certification or Submission Fee)
Under 37 C.F.R. § 1.97(c)

In accordance with 37 C.F.R. § 1.97(c), a PTO-2038 Credit Card form in the amount of \$180.00 is enclosed to secure consideration of the references submitted with this Information Disclosure Statement.) Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED April 20, 2004.

Respectfully submitted,



ADRIAN J. LEE
Attorney for Applicant
Registration No. 42,785
Customer No. 022913

AJL: ds
DS0000001908V001